



## Characterization of water – soluble brown carbon in atmospheric fine particles over Xi'an, China: Implication of aqueous brown carbon formation from biomass burning



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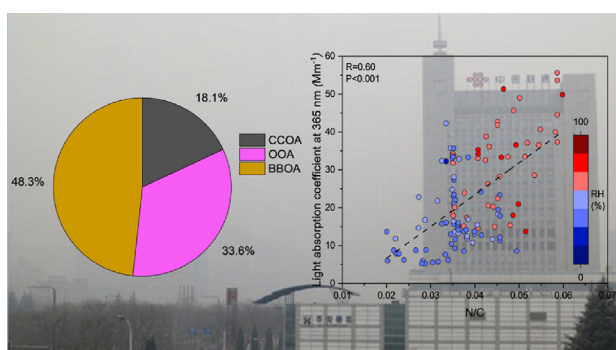
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### HIGHLIGHTS

- High-time resolution characterization of BrC were investigated in a heavily polluted city.
- N-containing compounds are the effective BrC chromophores.
- BBOA dominated the  $b_{\text{abs}365}$ , followed by OOA and CCOA.
- BBOA can be oxidized to produce BrC in aqueous phase.

### GRAPHICAL ABSTRACT



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### ABSTRACT

Brown carbon (BrC) aerosols can affect not only the climate but also human health, however, the light absorption, chemical compositions, and formation mechanisms of BrC are still uncertain, which leads to uncertainties in the accurate estimation of its climate and health impacts. In this study, highly time – resolved brown carbon (BrC) in fine particles was investigated in Xi'an using offline aerosol mass spectrometer analysis. The light absorption coefficient ( $b_{\text{abs}365}$ ) and mass absorption efficiency ( $\text{MAE}_{365}$ ) at 365 nm of water – soluble organic aerosol (WSOA) generally increased with oxygen – to – carbon (O/C) ratios, indicating that oxidized OA could have more impacts on BrC light absorption. Meanwhile, the light absorption appeared to increase generally with the increases of nitrogen – to – carbon (N/C) ratios and water – soluble organic nitrogen; strong correlations ( $R$  of 0.76 for  $\text{C}_x\text{H}_y\text{N}_p^+$  and  $R$  of 0.78 for  $\text{C}_x\text{H}_y\text{O}_z\text{N}_p^+$ ) between  $b_{\text{abs}365}$  and the N – containing organic ion families were observed, suggesting that the N – containing compounds are the effective BrC chromophores.  $b_{\text{abs}365}$  correlated relatively well with BBOA ( $r$  of 0.74) and OOA ( $R$  of 0.57), but weakly correlated with CCOA ( $R$  of 0.33), indicating that BrC in Xi'an was likely to be associated with biomass burning and secondary sources. A multiple linear regression model was applied to apportion  $b_{\text{abs}365}$  to contributions of different factors resolved from positive matrix factorization on water-soluble organic aerosols (OA) and obtained  $\text{MAE}_{365}$  values of different OA factors. We found that biomass-burning organic aerosol (BBOA) dominated the  $b_{\text{abs}365}$  (48.3 %), followed by oxidized organic aerosol (OOA, 33.6 %) and coal combustion organic aerosol (CCOA, 18.1 %). We further observed that nitrogen – containing organic matter (i.e.,  $\text{C}_x\text{H}_y\text{N}_p^+$  and  $\text{C}_x\text{H}_y\text{O}_z\text{N}_p^+$ )

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increased with the increase of OOA/WSOA and the decrease of BBOA/WSOA, especially under high ALWC conditions. Our work offered proper observation evidence that BBOA is oxidized through the aqueous formation to produce BrC in Xi'an, China.

## 1. Introduction

Light-absorbing organic aerosol, or brown carbon (BrC), can significantly affect visibility, climate, and even human health (Ervens et al., 2005; Hecobian et al., 2010; Sun et al., 2011). For example, BrC might alter the hygroscopicity of atmospheric particles and their ability to act as cloud condensation nuclei (Twohy et al., 2005). BrC is also proved to act as surface active reagents, which increase the solubility of hydrophobic organic compounds, such as  $n$ -alkanes and polycyclic aromatic hydrocarbons (PAHs), and thus increase their toxicity to human health (Lei et al., 2022; Wang et al., 2003). However, the light absorption, chemical compositions, and formation mechanisms of BrC are still uncertain, hindering accurate estimations of its climate and health effects.

BrC can be emitted from primary emission (e.g., biomass burning, and coal combustion (Du et al., 2022; Lei et al., 2018a; Lei et al., 2019; Li et al., 2023; Ye et al., 2017). Meanwhile, the oxidation of volatile organic compounds (VOCs) was also proved to be a source of BrC (Laskin et al., 2015; Li et al., 2020a; Li et al., 2021a; Wu et al., 2020; Zhang et al., 2015). BrC can be formed from gas-phase photooxidation of VOCs, including biogenic VOCs and aromatic VOCs (Hu et al., 2017; Lambe et al., 2013; Laskin et al., 2015; Lee et al., 2014). In specific, aqueous in-cloud processing and oligomerization of water-soluble organics have been recognized as an important component of BrC (Gao and Zhang, 2018; Graber and Rudich, 2006; Herrmann et al., 2015; Kasthuriarachchi et al., 2020; Rodriguez et al., 2022). For example, aqueous reactions involving surface-active species may play an important role in secondary BrC formations (Rodriguez et al., 2022). Another lab study suggests that the acceleration of BrC production might be affected by the evaporation of water (Kasthuriarachchi et al., 2020). However, most aqueous BrC formations were observed in lab studies, and the field observation evidence for aqueous formations is far from conclusive.

Previous studies have illustrated that the worldwide use of Aerodyne Aerosol Mass Spectrometer (AMS) has significantly improved the chemical characterization and source apportionment of OA. However, due to the high cost and strict requirement of AMS measurement, long-term online deployments of AMS at multiple sampling sites simultaneously were restricted (Bozzetti et al., 2017). Thus, the offline AMS technique was developed to characterize the chemical characterization and sources of ambient filter samples (Chen et al., 2020; Du et al., 2022; Ge et al., 2017; Qiu et al., 2019; Ye et al., 2017), cloud/fog water (Kim et al., 2019), and aqueous-phase samples (Lu et al., 2019). Sun et al. (2011) performed the first AMS analysis of water extracts of  $PM_{2.5}$  and investigated the sources of water-soluble OA (WSOA) in the southeastern U.S. via positive matrix factorization (PMF) analysis of the WSOA AMS mass spectra. Qiu et al. (2019) explored the vertical differences in the chemical characteristics and water solubility of different OA factors by performing offline AMS analysis. Moreover, this offline AMS method, together with optical analytical techniques, was also applied to investigate the chemical characterization and sources of BrC (Chen et al., 2018; Moschos et al., 2018). However, up to date, previous studies usually provide daily variations of BrC without tracking the diurnal changes of aerosol behaviors, which has brought large uncertainties to evaluate the secondary BrC absorptivity through different aging pathways. Some recent studies have utilized the AMS and multi-wavelength aethalometer to investigate the interplay among optical properties, chemical composition, and sources of BrC (de Sá et al., 2019; Qin et al., 2018). However, the inlet particle sizes of these two instruments are different. The light absorption of BrC was found to be predominately in the accumulation mode with an aerodynamic mean diameter of  $\sim 0.5 \mu m$ , which is mainly due to the chemical chromophores (Liu et al., 2013; Lei et al., 2018a). Therefore, our offline AMS measurements with high time resolution  $PM_{2.5}$  collection offer a deeper insight into the understanding of BrC, especially the formation mechanisms of BrC.

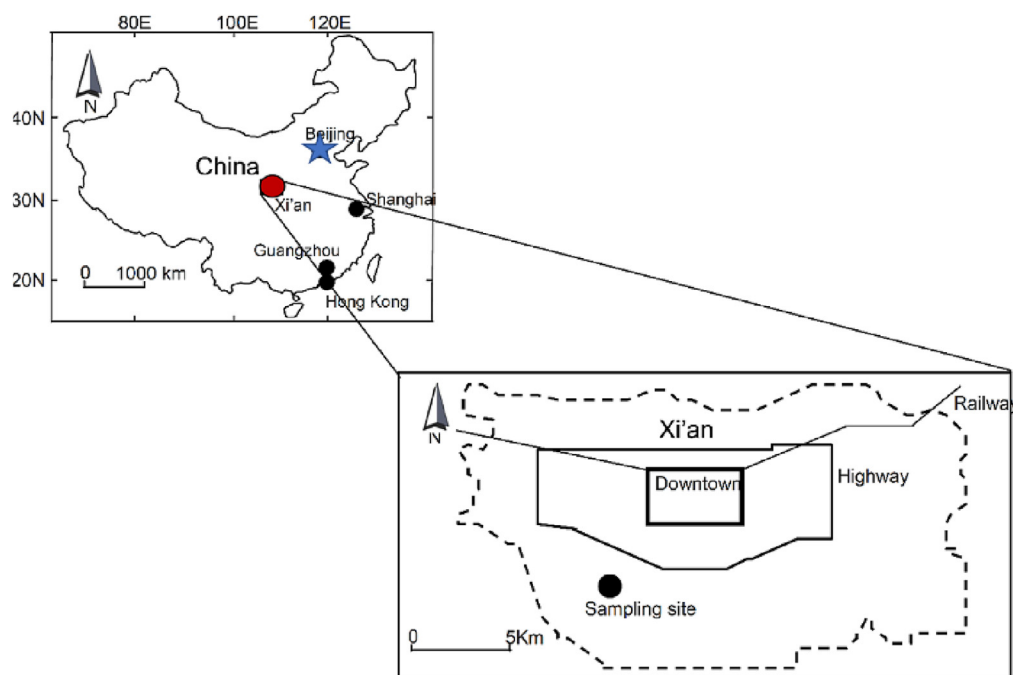


Fig. 1. Location of the sampling site ( $34^{\circ}13'44''N$ ,  $108^{\circ}53'15''E$ ) at Xi'an, China. Sampling was conducted on the rooftop (10 m above the ground) of a three-story building at the Institute of Earth Environment, Chinese Academy of Science.

In this study, we utilized AMS to analyze water-soluble BrC in one-hour high-resolution PM<sub>2.5</sub> collected during wintertime in Xi'an, China, a typical city in the northwest region, representative of relatively serious air pollution in China (Huang et al., 2014; Lei et al., 2018a; Li et al., 2020a; Li et al., 2020b; Wang et al., 2016a; Wu et al., 2020; Xu et al., 2021), and other chemical species, such as water-soluble ions, PAHs, dicarboxylic acid, were also analyzed to support our results and discussion. Our highly time-resolved measurements aim to obtain a better understanding of the chemical characteristics, optical properties, sources of BrC, and in particular the formations of secondary BrC.

## 2. Methodology

### 2.1. Sample collection

The sampling site was located on the rooftop (10 m above the ground) of a three-story building on the campus of the Institute of Earth Environment, Chinese Academy of Sciences, Xi'an city, China (Fig. 1). The high-time resolution sampling was conducted from Dec. 4th to 9th, 2012 by alternately using two high volume (1.0 m<sup>3</sup> min<sup>-1</sup>) samplers (Tianhong Instrument Co., Ltd., Wuhan, China) with one hour in each. The two samplers were calibrated before the sampling. A total of 120 samples were collected onto pre-baked (450 °C, 6–8 h) quartz fiber filters. After sampling, the filter samples were individually sealed in aluminum foil bags and stored in a freezer (-20 °C) before analysis. More details can be found in our previous study (Li et al., 2016). Meteorological parameters, including temperature and RH, were monitored by an automatic weather station (MILOS520, Vaisala, Inc., Finland), which was fixed on the roof of the observation station.

### 2.2. UV-Vis light absorption analyses

Water extracts of each filter sample were prepared for the UV-Vis measurements. The light absorption spectra of the liquid extracts were measured over the wavelength range 190–700 nm using a UV-Vis spectrophotometer (UV-6100 s) with 1 cm optical paths in the individual

solvent. One-quarter of each filter was extracted in 25 mL Milli-Q water (18.2 MΩ) by 30 min of sonication. All extracts were filtered 1–3 times with a 25 mm diameter 0.45 μm pore size (PTFE membrane) to remove the insoluble components.

Absorption spectra of water-soluble organic carbon (WSOC) have been used to assess the  $b_{abs}$  as described by Srinivas and Sarin (2014). The  $b_{abs}$  was calculated according to:

$$b_{abs\lambda} = (A_{\lambda} - A_{700}) \times (V_{ext} \cdot \text{Portions}) \times \ln(10) / (V_{aero} \times L) \quad (1)$$

where  $b_{abs\lambda}$  is expressed in the unit of Mm<sup>-1</sup> (or 10<sup>-6</sup> m<sup>-1</sup>).  $A_{\lambda}$  and  $A_{700}$  correspond to measured absorbance at specified  $\lambda$  and 700 nm, respectively.  $V_{ext}$  refers to the volume of the solvent extract (25 mL) in which different portions of the filter.  $V_{aero}$  corresponds to the sampling volume and  $L$  is the path length of the cell (1 cm). "Portions" is used to estimate the absorption signal of the whole aerosol filter. For example, "Portions" shows 8 which means 1/8 portions of the aerosol filter were extracted. We have used light absorbance at 365 nm to estimate BrC  $b_{abs}$  (Liu et al., 2013).

The relationship between wavelength-dependent AAE and  $b_{abs}$  in the WSOC is described following (Hecobian et al., 2010):

$$b_{abs\lambda} = K \times \lambda^{-AAE} \quad (2)$$

Here  $K$  refers to a constant value and  $\lambda$  denotes the wavelength of WSOC. In this study, AAE is calculated by a linear regression fit to  $\log b_{abs}$  vs.  $\log \lambda$  in the wavelength range of 330–400 nm.

From  $b_{abs\lambda}$ , the mass absorption efficiency of the solubilized OA fraction (MAE in m<sup>2</sup> g<sup>-1</sup>C) can be quantified as Eq. (3). MAE of WSOC at 365 nm (MAE<sub>365</sub>) was calculated based on the equation as follows:

$$MAE_{\lambda} = b_{abs\lambda} / \text{WSOC} \quad (3)$$

### 2.3. Offline AMS analysis and determination of water-soluble components

46.158 cm<sup>2</sup> of each filter sample was sonicated in 20 mL Milli-Q water for one hour and was then filtered with 0.45 μm PTFE syringe filters. In this

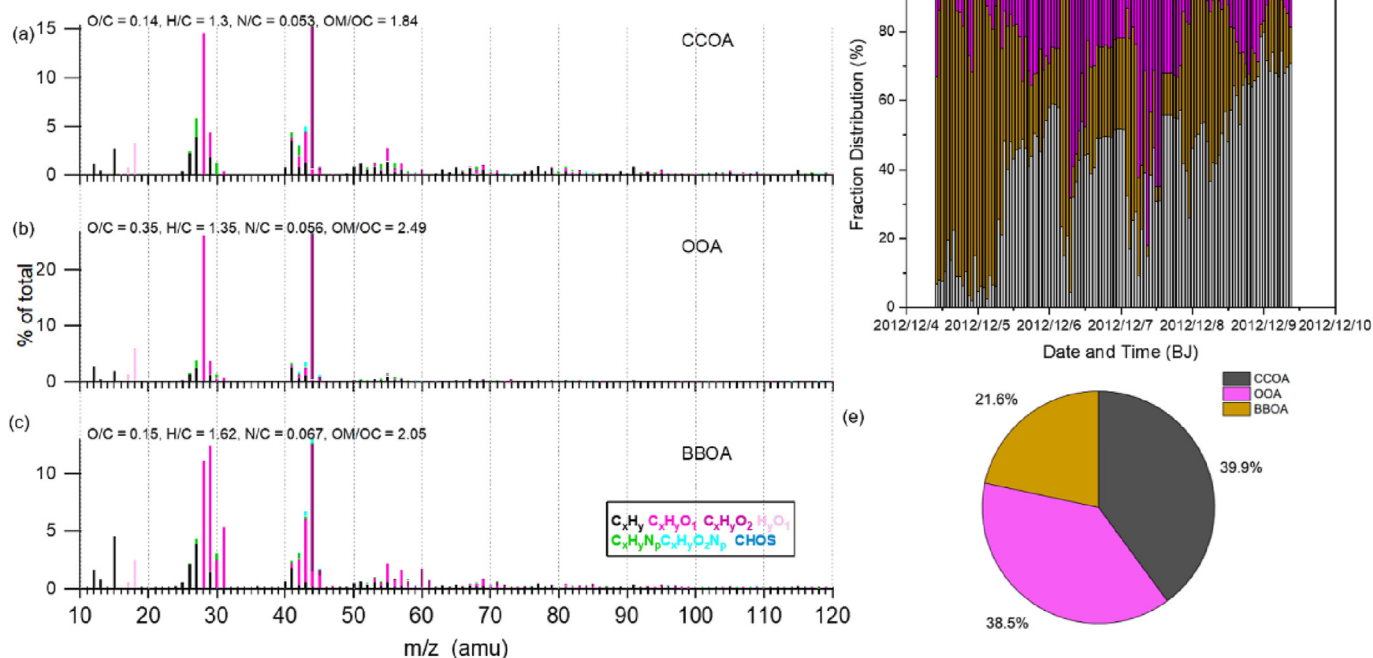


Fig. 2. (a – c) HRMS of individual water-soluble OA factors colored by different ion categories (Mass spectra of (a) CCOA; (b) OOA; (c) BBOA. Mass spectra signals are colored by six ion categories (i.e., C<sub>x</sub>H<sub>y</sub><sup>+</sup>, C<sub>x</sub>H<sub>y</sub>O<sup>+</sup>, C<sub>x</sub>H<sub>y</sub>O<sub>2</sub><sup>+</sup>, C<sub>3</sub>H<sub>5</sub>O<sub>2</sub><sup>+</sup>, HO<sup>+</sup>, C<sub>x</sub>H<sub>y</sub>N<sup>+</sup>, and C<sub>x</sub>H<sub>y</sub>ON); (d) time series of the mass fractional composition of WSOC; (e) the average fractional pie chart of WSOC during the study period.

study, the aerosol extractions were aerosolized by pure argon gas (Ar) using an atomizer and dehumidified via a diffusion dryer. Since we used pure argon as a carrier gas for nebulization, different from ambient measurements,  $\text{CO}^+$ ,  $\text{CH}_2\text{N}^+$ ,  $\text{C}_2\text{H}_4^+$  ions at  $m/z$  28 can be well separated and quantified from  $\text{N}_2^+$  ions. A Milli-Q water sample was nebulized and analyzed in the same way to reduce carry-over effects. The blank samples were also analyzed using the same procedures as the filter samples. Details on AMS analysis of liquid samples are given in Chen et al. (2020) and Sun et al. (2011).

The AMS data processing was conducted using standard AMS data analysis software SQUIRREL v1.56 and PIKA v1.15 written in Igor Pro (Wavemetrics, Lake Oswego, OR, USA) (Chen et al., 2020). Elemental analysis was conducted on the ion-specified HRMS to determine the atomic oxygen-to-carbon (O/C), hydrogen-to-carbon (H/C), nitrogen-to-carbon (N/C), and organic mass-to-carbon (OM/OC) ratios following the Improved-Ambient (IA) method (Canagaratna et al., 2015).

To convert the chemical species concentration in the nebulized aerosol to those in the ambient air, the sulfate signal was used as an internal standard. Since sulfate is nonvolatile and water-soluble, we assume it was extracted at 100% efficiency by water. We also assume that the fractional composition sampled by ion chromatography (Dionex 600, Dionex, US) and filter samples is the same. Thus, the concentration of organic matter measured by the AMS in the nebulized aerosol ( $[\text{Org}]_{\text{AMS}}$ ) can be converted to ambient concentration (WSOA) by applying the ratio of the sulfate concentration measured in filter extract by the AMS ( $[\text{SO}_4^{2-}]_{\text{AMS}}$ ) and the

average ambient sulfate concentration measured by IC over the corresponding period ( $[\text{SO}_4^{2-}]_{\text{ambient}}$ ):

$$\text{WSOA} = [\text{Org}]_{\text{AMS}} \times \left( \frac{[\text{SO}_4^{2-}]_{\text{ambient}}}{[\text{SO}_4^{2-}]_{\text{AMS}}} \right) \quad (4)$$

Three factors including coal combustion OA (CCOA), biomass burning OA (BBOA), and oxidized oxygenated OA (OOA) were resolved using positive matrix factorization (PMF).

#### 2.4. Other chemical analysis

The water-soluble organic carbon (WSOC), organic carbon (OC), water-soluble inorganic ions, polycyclic aromatic hydrocarbons (PAHs), and dicarboxylic acid analyses were described in our previous studies (Li et al., 2016). Briefly, the WSOC concentrations were quantified by a TOC analyzer (TOC-L CPH, Shimadzu, Japan) (Li et al., 2016; Shen et al., 2017; Wang et al., 2018a). The concentrations of OC were measured by the OC/EC analyzer (DRI, 2001A, USA) following the Interagency Monitoring of Protected Visual Environments (IMPROVE) thermal/optical reflectance (TOR) protocol (Lei et al., 2019; Li et al., 2016). Water-soluble inorganic ions were analyzed by using ion chromatography (Dionex 600, Dionex, USA) (Li et al., 2016). The organic compounds including PAHs and dicarboxylic acid were determined using gas chromatography/mass

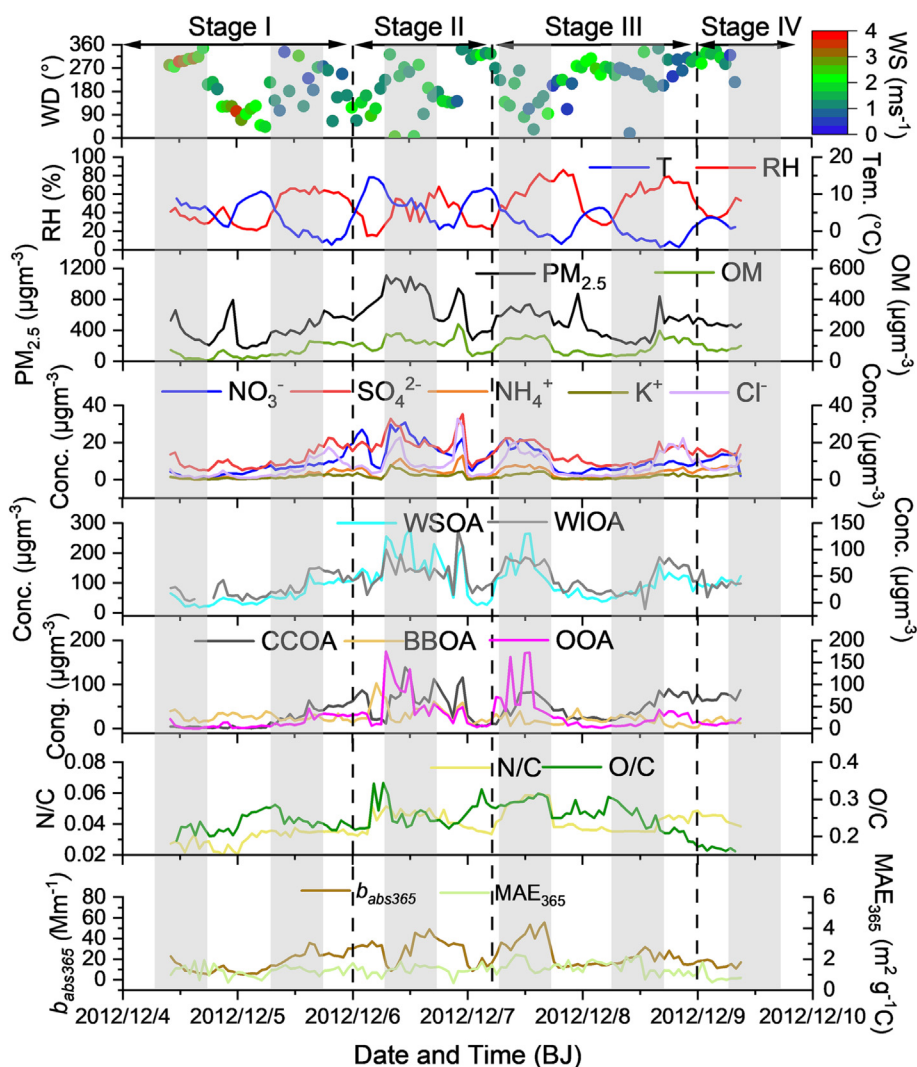


Fig. 3. Time series of meteorological parameters, chemical species and light absorption parameters measured in Xi'an on Dec. 4–9, 2012.

spectrometry (GC/MS). GC/MS analysis of the derivatives was performed using an Agilent 7890A GC coupled with an Agilent 5975C MSD. The GC separation was carried out using a DB – 5MS fused silica capillary column with the GC oven temperature programmed from 50 °C (2 min) to 120 °C at 15 °C min<sup>-1</sup> and then to 300 °C at 5 °C min<sup>-1</sup> with a final isothermal hold at 300 °C for 16 min. The sample was injected in a splitless mode at an injector temperature of 280 °C, and scanned from 50 to 650 Da using electron impact (EI) mode at 70 eV. More details can be found in our previous studies (Li et al., 2022; Wang et al., 2009).

### 2.5. Aerosol liquid water content calculation

The measured concentrations of water – soluble inorganic ions and daily averaged temperature and RH (relative humidity) were used as input in the aerosol thermodynamic model ISORROPIA – II (Fountoukis and Nenes, 2007) to calculate aerosol liquid water content and pH for particles. In this study, the forward metastable mode was chosen for the estimation since it is less sensitive to measurement errors than the reverse mode (Guo et al., 2015; Hennigan et al., 2015; Lv et al., 2022; Wu et al., 2019). By the way, organics on aerosol liquid water content and pH were not taken into account due to the small effects in China (Liu et al., 2017).

## 3. Results and discussion

### 3.1. Temporal variations of WSOA

To investigate water – soluble organic aerosols (WSOA), three WSOA factors were first identified via PMF analysis of the HRMS of WSOA, namely CCOA, BBOA, and OOA. Fig. 2 presents a summary of the mass spectral profiles, time series, and the mass fractional contributions of these three factors. On average, PMF source apportionment results showed that WSOA were composed of 39.9 % CCOA, 38.5 % OOA, and 21.6 % BBOA.

As seen in Fig. 2a, the mass spectrum of CCOA is characterized by alkyl fragments, such as C<sub>n</sub>H<sub>2n+1</sub><sup>+</sup>, C<sub>n</sub>H<sub>2n-1</sub><sup>+</sup>, and the reprehensive primary OA (POA) ions from fossil fuel combustion. Meanwhile, CCOA presents a unique peak of C<sub>7</sub>H<sub>7</sub><sup>+</sup> at *m/z* 91, the spectral marker of coal combustion. The O/C and H/C ratios of CCOA are 0.14 and 1.30, respectively. In addition, good correlations were observed between CCOA and benzo(*b*)fluoranthene (BbF), benzo(*a*)pyrene (BaP), and C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup> (Fig. S1), which are proved to be coal combustion tracers observed in our study (Lei et al., 2021; Qiu et al., 2019; Wang et al., 2021). The mass spectrum of OOA is characterized by a prominent peak of *m/z* 44 (mainly CO<sub>2</sub><sup>+</sup>) (Fig. 2b). Meanwhile, the O/C and OM/OC ratios were 0.35 and 2.49. Moreover, we also noticed a relatively good relationship between OOA and oxalic acid, an SOA tracer (Fig. S2). Biomass burning is a significant WSOA source because primary BBOA consists of water – soluble species, i.e., anhydrous sugar (Ge et al., 2017). The mass spectrum of BBOA is contributed by C<sub>x</sub>H<sub>y</sub><sup>+</sup> and C<sub>x</sub>H<sub>y</sub>O<sub>z</sub><sup>+</sup> ions. In specific, BBOA is characterized by prominent peaks at *m/z* 60 (C<sub>2</sub>H<sub>4</sub>O<sub>2</sub><sup>+</sup>), the typical ion fragments of anhydrous sugars (e.g., levoglucosan) (Cubison et al., 2011). Another evidence was that BBOA is closely correlated with those of levoglucosan (*R* = 0.83, Fig. S3b) and K<sup>+</sup> (*R* = 0.82, Fig. S3c).

The temporal variation of WSOA and meteorological parameters in this study were illustrated in Fig. 3. Winds were weak throughout the sampling period, indicative of stagnant conditions. The period of December 4–6, denoted as Stage I in Fig. 3, was characterized by moderate RH (47.3 ± 20.1 %) and a mean temperature of 3.7 ± 4.4 °C (Table 1). The period of December 6–7, denoted as Stage II, had a lower RH (37.4 ± 14.9 %) and a higher temperature (7.8 ± 4.1 °C). Additionally, the period from December 7 to 9, denoted as Stage III with higher RH (60.5 ± 17.0 %) and lowest temperature (0.3 ± 3.3 °C). A pronounced increase of OOA mass concentration during Stage III with higher RH further supports the formation of OOA through aqueous – phase chemistry. Previous AMS field studies in China have identified OOA, an important

**Table 1**

Mass concentrations of PM<sub>2.5</sub> and its chemical species in four stages.

Species	Stage I		Stage II		Stage III		Stage IV	
	Mean	SD <sup>a</sup>	Mean	SD <sup>a</sup>	Mean	SD <sup>a</sup>	Mean	SD <sup>a</sup>
PM <sub>2.5</sub> (μg m <sup>-3</sup> )	254.3	128.1	573.2	247.4	355.5	165.0	329.9	30.2
Wind speed (ms <sup>-1</sup> )	1.8	0.9	1.5	0.4	1.3	0.5	1.3	0.7
T (°C)	3.7	4.4	7.8	4.1	0.3	3.3	2.5	1.1
RH (%)	47.3	20.1	37.4	14.9	60.5	17.0	42.2	8.1
CCOA (μg m <sup>-3</sup> )	19.4	21.3	57.4	39.3	49.4	24.1	71.4	8.9
OOA (μg m <sup>-3</sup> )	12.8	12.4	46.6	43.9	33.1	41.6	12.3	4.5
BBOA (μg m <sup>-3</sup> )	25.6	7.7	36.7	22.6	18.4	10.3	18.0	5.0
WSOA (μg m <sup>-3</sup> )	57.8	31.5	140.7	73.9	101.0	53.3	101.7	13.2
WSOC (μg m <sup>-3</sup> )	15.2	9.9	29.5	16.8	25.1	22.3	23.3	6.8
TC (μg m <sup>-3</sup> )	45.8	31.8	88.9	40.5	78.8	42.4	66.5	12.1
OC (μg m <sup>-3</sup> )	35.4	24.8	71.3	30.3	59.6	32.1	49.9	9.6
EC (μg m <sup>-3</sup> )	10.4	7.3	17.6	10.6	19.2	10.5	16.6	3.0
OC/EC	3.6	1.1	4.4	0.9	3.1	0.3	3.0	0.3
NO <sub>3</sub> <sup>-</sup> (μg m <sup>-3</sup> )	5.9	4.3	17.4	7.6	9.4	5.5	11.1	3.7
SO <sub>4</sub> <sup>2-</sup> (μg m <sup>-3</sup> )	10.7	5.1	19.2	6.9	13.8	5.1	14.7	2.0
Na <sup>+</sup> (μg m <sup>-3</sup> )	2.3	1.3	5.0	1.6	2.6	1.4	4.3	1.1
NH <sub>4</sub> <sup>+</sup> (μg m <sup>-3</sup> )	1.8	1.7	4.7	3.3	3.6	2.5	6.8	1.5
K <sup>+</sup> (μg m <sup>-3</sup> )	1.2	0.9	2.5	1.9	1.8	1.3	2.2	0.8
Levoglucosan (μg m <sup>-3</sup> )	0.5	0.5	1.0	0.7	1.1	1.4	0.5	0.2
Phenanthrene (ng m <sup>-3</sup> )	12.6	13.3	21.8	11.8	28.6	22.3	24.9	5.6
Anthracene (ng m <sup>-3</sup> )	1.0	1.1	1.4	0.9	2.8	2.2	3.4	1.1
Fluoranthene (ng m <sup>-3</sup> )	16.6	19.8	24.5	15.8	31.7	21.5	34.9	7.7
Pyrene (ng m <sup>-3</sup> )	14.4	18.6	22.2	16.4	28.8	22.8	24.7	7.7
Benz(a)anthracene (ng m <sup>-3</sup> )	6.6	9.4	9.8	8.7	12.7	11.8	7.5	3.4
Chrysene (ng m <sup>-3</sup> )	9.8	12.5	15.4	11.3	17.3	13.1	14.9	4.2
benzo( <i>b</i> )fluoranthene (ng m <sup>-3</sup> )	10.9	13.5	18.0	13.5	20.3	17.0	15.8	4.4
benzo( <i>k</i> )fluoranthene (ng m <sup>-3</sup> )	2.9	3.6	5.2	4.0	6.1	5.1	5.1	1.3
benzo( <i>e</i> )pyrene (ng m <sup>-3</sup> )	7.0	9.1	10.9	8.1	12.9	10.5	10.0	2.8
benzo( <i>a</i> )pyrene (ng m <sup>-3</sup> )	6.3	8.8	10.4	9.0	13.3	12.6	8.4	3.3
Perylene (ng m <sup>-3</sup> )	1.5	2.1	2.5	2.1	3.1	3.0	1.6	0.7
Indeno[123- <i>cd</i> ]pyrene (ng m <sup>-3</sup> )	6.2	7.7	10.2	8.0	12.1	10.9	9.1	2.6
Dibenz( <i>a,h</i> )anthracene (ng m <sup>-3</sup> )	1.4	1.6	1.7	1.2	2.1	1.7	1.6	0.5
Benzo( <i>ghi</i> )perylene (ng m <sup>-3</sup> )	6.4	7.8	10.1	7.7	12.1	10.7	8.7	2.2
Coronene (ng m <sup>-3</sup> )	2.1	2.7	3.4	3.0	4.1	4.1	2.6	0.8
Dibenzo( <i>a,e</i> )pyrene (ng m <sup>-3</sup> )	0.6	0.8	0.7	0.6	1.1	1.0	0.7	0.2
Total PAHs (ng m <sup>-3</sup> )	109.2	134.2	168.3	120.4	212.0	168.1	173.7	46.4
Oxalic acid (μg m <sup>-3</sup> )	0.3	0.1	0.6	0.2	0.3	0.1	0.3	0.0

<sup>a</sup> Standard deviation.

OA component, can be produced in the aqueous phase under high – RH conditions (Wang et al., 2021).

### 3.2. Light absorption of WSOA

Fig. 4a illustrates the light absorption spectra of water – soluble extracts from 300 to 700 nm. As can be seen in Fig. 4a, the light absorption of water – soluble extracts decreased dramatically from 300 nm to 700 nm. In specific, the absorption at the wavelength of 365 nm has been widely used to represent the light absorptivity of BrC (Lei et al., 2018a), which is chosen to avoid the interferences by inorganic compounds (e.g., nitrate). High correlation of *b*<sub>abs365</sub> with WSOA (*R* = 0.86, Fig. 4b) was found in this study, suggesting that WSOA contains a significant portion of BrC chromophores. As shown in Fig. 4c – d, *b*<sub>abs365</sub> presented good correlations with two biomarkers (*R* of 0.68 and 0.71 for K<sup>+</sup> and Levoglucosan, respectively), indicating that biomass burning emissions might be important BrC sources in this study. Meanwhile, good correlations between *b*<sub>abs365</sub> and BaP (*R* = 0.61) and BbF (*R* = 0.62) were also observed, inferring that coal combustion can be an important source of BrC. Furthermore, *b*<sub>abs365</sub> also correlated with oxalic acid, suggesting secondary formation to some extent contributed to BrC. Moreover, *b*<sub>abs365</sub> presented good correlations with EC (*R* =

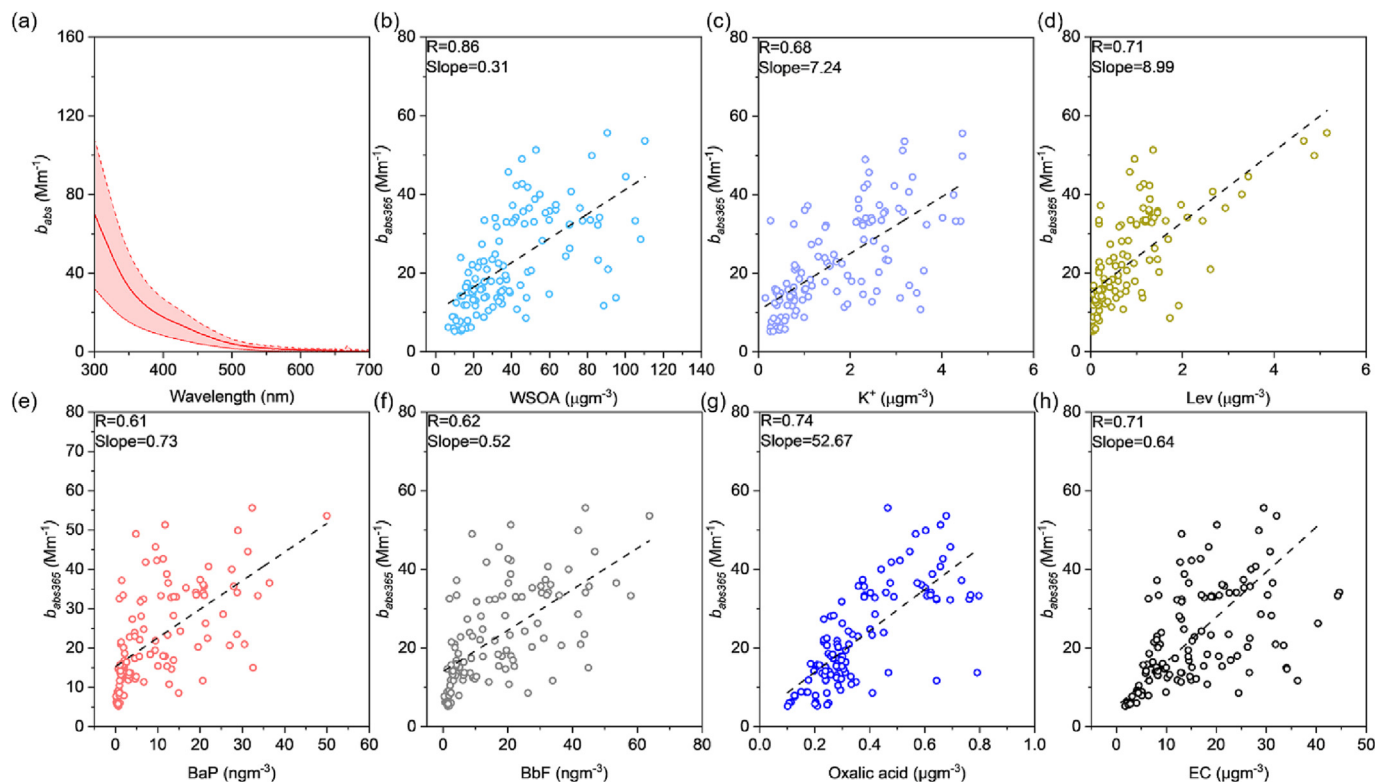


Fig. 4. (a) Averaged light absorption spectra of water extracts, scatter plots of light absorption coefficient at 365 nm of WSOA versus (b) WSOA, (c)  $K^+$ , (d) Levoglucosan (Lev), (e) BaP, (f) BbF, (g) Oxalic acid, and (h) EC.

0.71), demonstrating that combustion emissions may be important BrC sources in this study.

The temporal variations of  $b_{abs365}$  and  $MAE_{365}$  were displayed in Fig. 3. The average  $b_{abs365}$  during the sampling period was  $22.6 \pm 12.3 \text{ Mm}^{-1}$ , which is similar to the previous studies observed in Xi'an (Shen et al., 2017), but much higher than those obtained in Seoul, Korea ( $3.76 \text{ Mm}^{-1}$ ; Kim et al. (2016)), southeastern US in summer ( $0.21 \text{ Mm}^{-1}$ ; Xie et al. (2019)), Beijing, China during wintertime ( $10.48 \text{ Mm}^{-1}$ ; Cheng et al. (2016)). A new study written by Wang et al. (2022) found that the  $b_{abs365}$  values for BrC exhibited significant spatial variations across these six cities in China (Beijing, Harbin, Xi'an, Chengdu, Guangzhou, and Wuhan). The  $MAE_{365}$  was  $1.31 \pm 0.40 \text{ m}^2 \text{ g}^{-1} \text{C}$ , which is in agreement with earlier studies (Chen et al., 2020; Cheng et al., 2016; Du et al., 2014; Kim et al., 2016; Lei et al., 2019). Therefore, the differing results observed in different areas implied that the light absorption of BrC could be influenced by chemical structures (Zeng et al., 2021; Zhang et al., 2020), atmospheric aging (Al-Abadleh, 2021; Li et al., 2021a; Rodriguez et al., 2022).

In addition, the diurnal variation of  $b_{abs365}$  and  $MAE_{365}$  are depicted in Fig. S4. On the whole,  $b_{abs365}$  and  $MAE_{365}$  presented relatively high levels in the nighttime but low concentrations during the daytime. Our results suggest diurnal characteristics of aerosol optical properties during the heating season in north China, that is, the increased light absorption during nighttime due to enhanced aqueous production of secondary aerosol (Gilardoni et al., 2016). In addition, the decrease in  $b_{abs365}$  in the afternoon may be related to the photobleaching of BrC due to intense photochemical processes (Du et al., 2022; Lei et al., 2019).

### 3.3. Chemical properties of water – soluble BrC

In this study, the variations of  $b_{abs365}$  together with atomic oxygen to carbon (O/C) ratios were illustrated in Fig. 5a. The  $b_{abs365}$  values generally increased with O/C ratios. Similar results were found for  $MAE_{365}$  versus O/

C ratios in Fig. 5b. This observation again indicates that oxidized OA could have more impacts on BrC light absorption (Jiang et al., 2022). However, the enhanced formation of BrC is not well in agreement with earlier photo – bleaching observations in wintertime Nanjing, China (Chen et al., 2018) or dry seasons in central Amazonia (de Sá et al., 2019). Laboratory investigations showed that chemical aging can significantly affect the light absorptivity of atmospheric BrC, but both photobleaching and photo – enhancement are possible owing to different precursors or aging conditions (for example, reaction time) (Choudhary et al., 2023; Di Lorenzo and Young, 2016; Wong et al., 2017; Yu et al., 2014). Previous studies found that the photo-enhancement in BrC absorbance during aqueous oxidation (Wong et al., 2019; Hems et al., 2020). The observed results that  $b_{abs365}$  increases with the increase of O/C may reflect some local characteristics of BrC, which may also be related to the subsequent claim of secondary BrC generation by the liquid-phase reaction of BBOA. For example, as relatively high humidity can provide an aqueous phase medium, Maillard reaction is supposed to occur during our sampling period (Chen et al., 2021a, 2021b). Meanwhile, it has been suggested that nitrocatechols, which are formed through atmospheric oxidation of biomass burning, are the major contributors to atmospheric BrC (Frka et al., 2016). Moreover, another study have found that nitrophenols are observed to contribute to the light absorption in BrC aerosol from biomass burning, and they are reactive toward oxidation, especially in the aqueous phase (Hems and Abbatt, 2018).  $b_{abs365}$  and  $MAE_{365}$  versus nitrogen – to – carbon (N/C) ratios and water – soluble organic nitrogen ions concentrations were also investigated in Figs. 5 c – d and 6a – b. It can be seen that both  $b_{abs365}$  and  $MAE_{365}$  increased with the increase of N/C ratios and water – soluble organic nitrogen ions concentrations. Moreover, high correlations for  $C_xH_yN_p^+$  ( $R = 0.76$ ) and  $C_xH_yO_zN_p^+$  ( $R = 0.78$ ) were observed between  $b_{abs365}$  in the AMS measurements, highlighting the N – containing compounds were significant BrC chromophores (Chen et al., 2017; Chen et al., 2019a; Chen et al., 2019b).

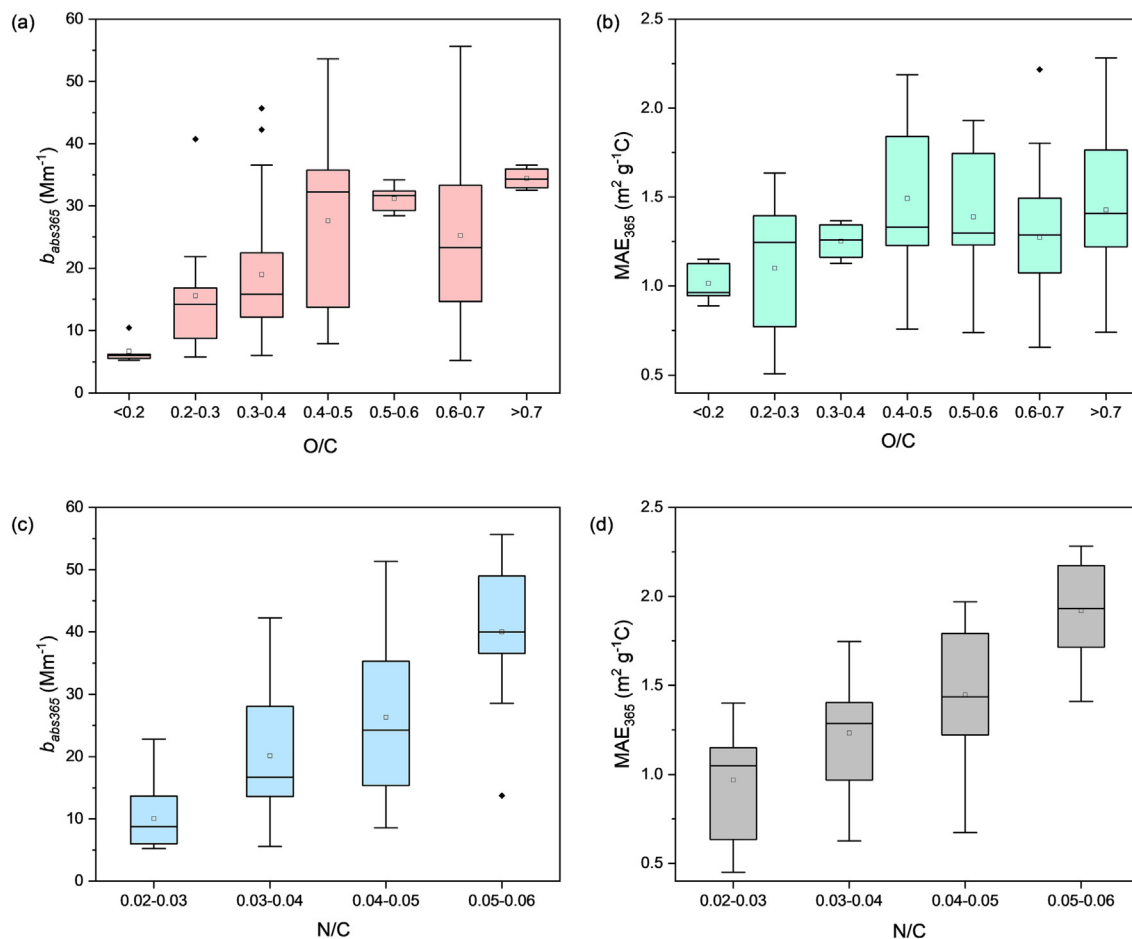


Fig. 5. Box plot of (a)  $b_{abs365}$  versus O/C, (b)  $MAE_{365}$  versus O/C, (c)  $b_{abs365}$  versus N/C, and (d)  $MAE_{365}$  versus N/C.

### 3.4. $b_{abs365}$ source apportionment

To explore the three water – soluble factors to BrC, the correlations between  $b_{abs365}$  and these factors were first investigated. As seen in Fig. S5,  $b_{abs365}$  correlated relatively well with BBOA ( $r$  of 0.74) and OOA ( $R$  of 0.57), but weakly correlated with CCOA ( $R$  of 0.33), indicating that BrC

in Xi'an was likely to be associated with biomass burning and secondary sources. These results are found to be consistent with a recent study in Yangzhou, China (Chen et al., 2020). Moreover, the mass spectra of BBOA and OOA have relatively high N/C ratios (0.067 for BBOA, 0.056 for OOA) and high N – containing organic ions fractions ( $C_xH_yN_p^+$ : 18.2 % for BBOA and 11.8 % for OOA, and  $C_xH_yO_zN_p^+$ ).

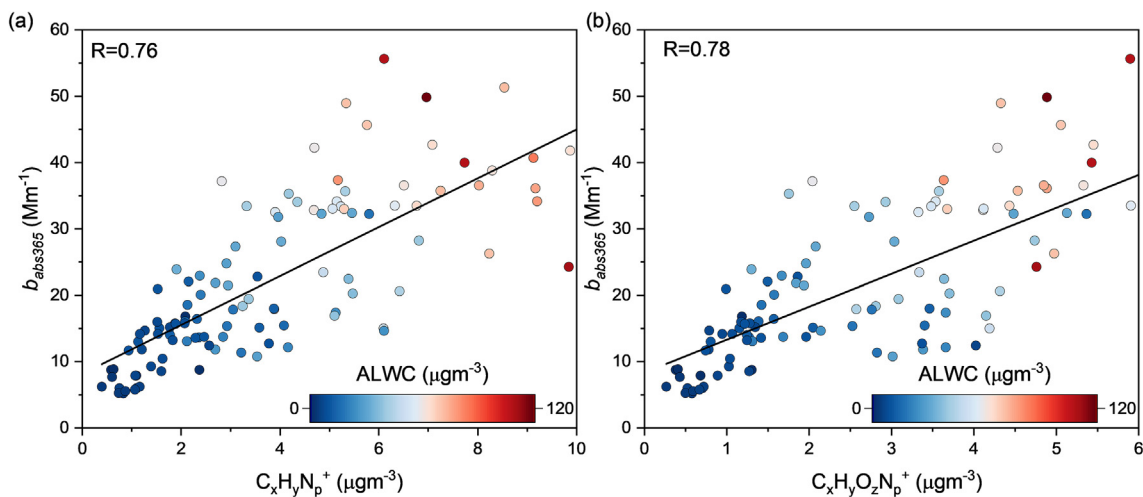


Fig. 6. Correlations between  $b_{abs365}$  and mass concentrations of N – containing organic ion families with the changing ALWC.

**Table 2**

Summary of the multiple linear regression results based on (a) three WSOA factors and (b) three WSOM factors.

	Coefficients ( $\text{m}^2\text{g}^{-1}\text{C}$ )		Contributions (%)
	Mean	SD <sup>a</sup>	
CCOA	0.41	0.06	18.1
BBOA	0.68	0.08	48.3
OOA	0.48	0.04	33.6

<sup>a</sup> Standard deviation.

We then used multiple linear regression (MLR) algorithms (Lei et al., 2018b) to apportion the contributions of different WSOA factors to the  $b_{\text{abs}365}$  via the following equation:

$$b_{\text{abs}365} = a[\text{CCOA}] + b[\text{OOA}] + c[\text{BBOA}] \quad (5)$$

where [CCOA], [OOA], and [BBOA] are time series of WSOA factors, and a, b, and c are the regression coefficients (Table 2), which are the MAE values ( $\text{m}^2\text{g}^{-1}\text{C}$ ) of corresponding factors. The correlation coefficient between reconstructed  $b_{\text{abs}365}$  and was 0.91 with a slope of 0.93 (Fig. S6a), suggesting the effectiveness of this algorithm on this dataset. Fig. 8b displayed the source contributions of different WSOA factors to  $b_{\text{abs}365}$ . It can be seen that BBOA dominated the  $b_{\text{abs}365}$  (48.3 %), followed by OOA (33.6 %) and CCOA (18.1 %). Therefore, OOA also contributed to BrC absorptivity besides BBOA. This is possibly due to the aging processing with the compounds having a relatively higher BrC chromophore such as humic-like substances (HULIS). HULIS formation was related to aqueous processing from biomass burning (Graber and Rudich, 2006; Hecobian et al., 2010; Lin et al., 2010). Moreover, previous studies have also illustrated that the PAHs aqueous oxidation might contribute to BrC absorptivity (Haynes et al., 2019; Liu et al., 2020; Wang et al., 2021). For example, nitroaromatic compounds, the derivatives of PAHs, can

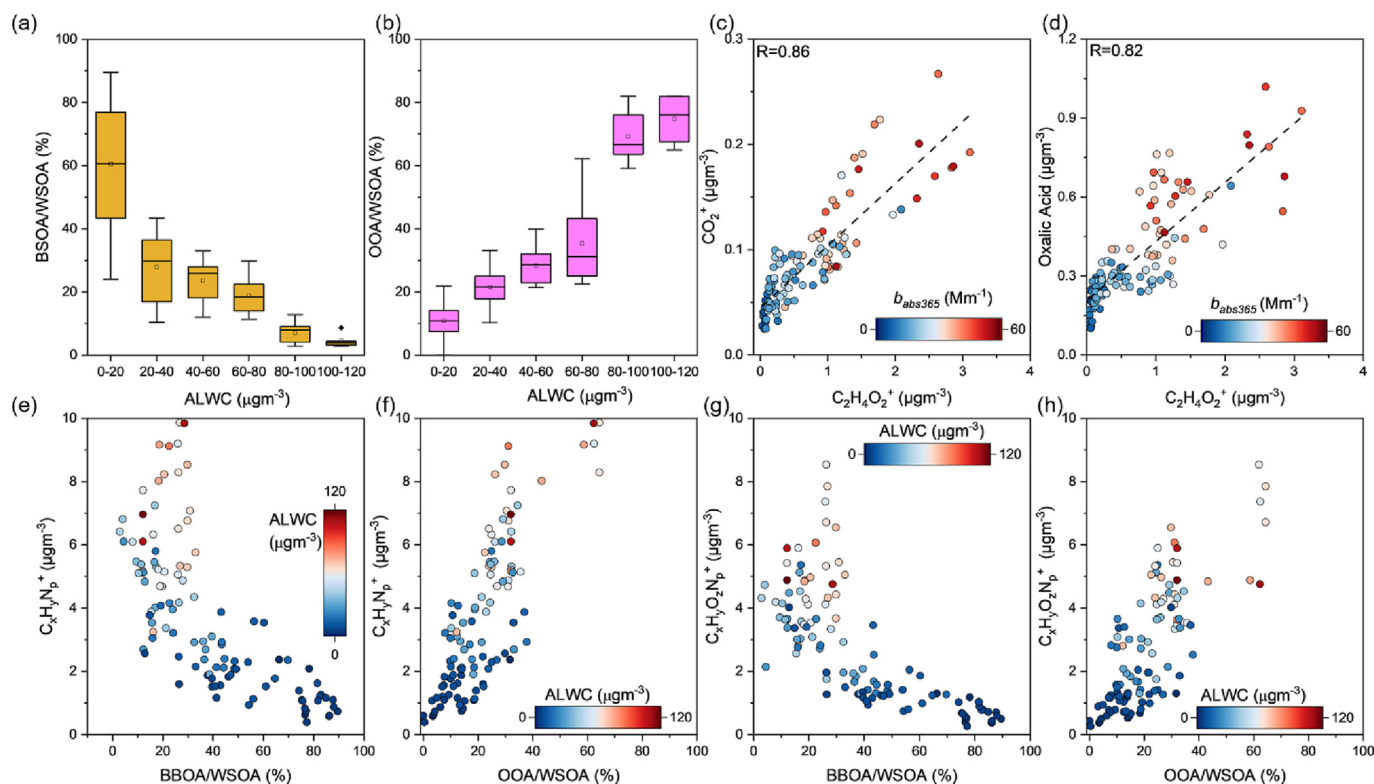
produce secondary BrC through the oxidation of aromatic precursors from biomass burning emissions (Desyaterik et al., 2013).

### 3.5. Implications of aqueous brown carbon formation from biomass burning

As is known to all, some secondary BrC processes can cause an increase in light absorption, while others lead to bleaching (Al-Abadleh, 2021; Dasari et al., 2019; Hems and Abbatt, 2018; Hems et al., 2021; Laskin et al., 2015; Sumlin et al., 2017). Therefore, these have brought large uncertainties in the absorption intensity of different OA and how the light absorption changes through atmospheric aging in modeling (Wang et al., 2018b; Wang et al., 2016b).

It can be seen from Fig. 7a–b that BBOA/WSOA decreased with the increase of ALWC while OOA/WSOA increased with the increase of ALWC, suggesting the aqueous formation of oxidized OA from BBOA in our campaign. It can be also inferred by the Van Krevelen diagram of water-soluble OA (Fig. S7). The Van Krevelen diagram tracks the evolution of WSOA based on H/C ratios and O/C ratios. H/C decreased with O/C increased in the succession from BBOA to OOA. Meanwhile, the higher light absorption of water-soluble OA was observed with higher oxidized ions such as  $\text{CO}_2^+$  (Fig. 7c) and oxalic acid (Fig. 7d). Moreover, nitrogen-containing organic matter (i.e.,  $\text{C}_x\text{H}_y\text{N}_p^+$  and  $\text{C}_x\text{H}_y\text{O}_z\text{N}_p^+$ ) increased with the increase of OOA/WSOA and the decrease of BBOA/WSOA, especially under high ALWC conditions.

Our observations implied that the enhanced BrC formation is likely to be associated with aqSOA formation, which is consistent with the previous study investigated by Gilardoni et al. (2016). Another campaign evidence for BrC formation through aqueous processing has also been observed for fog processing of biomass burning during periods of high aerosol liquid water content in wintertime in Xianghe, China, as well (Wang et al., 2019). Furthermore, our result agrees with the measurements of optical properties of aqSOA produced in laboratory experiments from photooxidation and nitration of phenolic compounds (Kitanovski et al., 2014; Lambe et al., 2013; Yu et al., 2014). Therefore,



**Fig. 7.** Box plot of (a) BBOA/WSOA and (b) OOA/WSOA versus ALWC; Scatterplot of (c)  $\text{CO}_2^+$  versus  $\text{C}_2\text{H}_4\text{O}_2^+$ , (d) Oxalic acid versus  $\text{C}_2\text{H}_4\text{O}_2^+$ ,  $\text{C}_x\text{H}_y\text{N}_p^+$  versus (e) BBOA/WSOA and (f) OOA/WSOA,  $\text{C}_x\text{H}_y\text{O}_z\text{N}_p^+$  versus (g) BBOA/WSOA and (h) OOA/WSOA with the changing ALWC.



our work offered proper observation evidence that BBOA is oxidized to produce BrC in the aqueous phase in Xi'an, China.

#### 4. Summary and conclusion

Our study investigated the light absorption properties of water – soluble BrC in PM<sub>2.5</sub> in Xi'an, China, a typical city in the northwest region, representative of relatively serious air pollution in China. The  $b_{abs365}$  and MAE<sub>365</sub> were  $22.6 \pm 12.3 \text{ Mm}^{-1}$  and  $1.31 \pm 0.40 \text{ m}^2 \text{ g}^{-1}\text{C}$ , respectively. The chemical properties of water – soluble BrC showed that both  $b_{abs365}$  and MAE<sub>365</sub> values appeared to increase in general with the increases of N/C ratios and WSON concentrations. Moreover, strong correlations ( $r > 0.75$ ) were observed between  $b_{abs365}$  and the N – containing organic ion families (i.e.,  $\text{C}_x\text{H}_y\text{N}_p^+$ ,  $\text{C}_x\text{H}_y\text{O}_z\text{N}_p^+$ ) from AMS measurements, highlighting that the N – containing compounds are the effective BrC chromophores. The source apportionment of  $b_{abs365}$  showed that BBOA dominated the  $b_{abs365}$  (48.3 %), followed by OOA (33.6 %) and CCOA (18.1 %). Meanwhile, BBOA/WSON decreased with the increase of ALWC while OOA/WSON increased with the increase of ALWC. Moreover, most of the high-value plots of ALWC have higher  $b_{abs365}$  and MAE<sub>365</sub>, indicating that BrC is related to the formation of the liquid phase and produces higher nitrogen – containing organic matter. However, due to the complex BrC molecules that can change the evaporation and hydration properties through aqueous reactions, understanding the physicochemical effects of BrC molecules is still needed to obtain a good grasp of the parameters in the models.

#### CRedit authorship contribution statement

Wang GH designed the research; Lei YL, Li JJ, Zhang K, Lu YY, and Chen YB conducted the sampling and chemical analysis; Lei YL contributed to writing the draft manuscript; Zhang K, Lu YY, Qin YM, Li LJ, Wu C, Zhang JK, Zhang F, and Wang GH were responsible for reviewing and editing the manuscript; Wang GH supervised the study.

#### Data availability

Data will be made available on request.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2023.163442>.

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